



05/28/04

GP-3762
JLWPTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/998,733
	Filing Date	November 30, 2001
	First Named Inventor	Rud Istvan
	Art Unit	3762
	Examiner Name	tbd
Total Number of Pages in This Submission	Attorney Docket Number	005123.00004

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): IDS form PTO/SB/08A Return Receipt Postcard Copies of four cited foreign documents
<div>Remarks</div> <p>Express Mail No. EV306396415US</p>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jason S. Shull
Signature	
Date	May 27, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name			
Signature		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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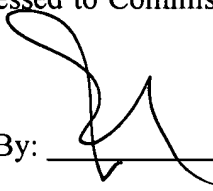


CERTIFICATE OF MAILING
(PATENT APPLICATION)

Express Mail No. EV 306396415 US

Deposited: May 27, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By:  _____

In the Application of: Rud Istvan, et al.
Serial Number: 09/998,733
Filing Date: November 30, 2001
Title: Wireless ECG System

Transmitted herewith are the following documents:

- X Information Disclosure Statement
- X Form PTO/SB08/A with copies of four foreign cited references
- X Transmittal letter
- X Fee Transmittal (in duplicate)
- X Return Postcard

Attorney Case No.: 005123.00004



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number 09/998,733
Filing Date November 30, 2001
First Named Inventor Istvan
Examiner Name tba
Art Unit 3762
Attorney Docket No. 005123.00004

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number 19-0733

Deposit
Account
Name Banner & Witcoff, LTD.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims	Fee from below	Fee Paid
Total Claims		** =	0	X	0
Independent Claims		** =	0	X	0
Multiple Dependent				X	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Supplemental Information Disclosure Statement 180

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180

SUBMITTED BY

Name (Print/Type) Jason S. Shull Registration No. (Attorney/Agent) 47,085 Telephone 312/463-5000
Signature Date May 27, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Our Case No. 005123.00004)

In the Application of:)
Rud Istvan)
Serial No.: 09/998,733) Art Unit No.: 3762
Filed: November 30, 2001) Examiner: TBD
Title: WIRELESS ECG SYSTEM)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims.

The Commissioner is hereby authorized to charge the fee to Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

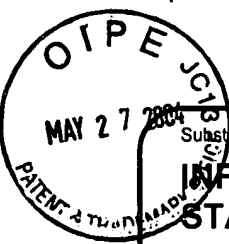
06/01/2004 CNGUYEN 00000098 190733 09998733
01 FC:1806 180.00 DA

By:

Jason S. Shull

Ten South Wacker Drive
Suite 3000
Chicago, Illinois 60606-7407
(312) 463-5000

5/27/04



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/998,733
Filing Date	November 30, 2001
First Named Inventor	Rud Istvan
Group Art Unit	3762
Examiner Name	not assigned
Attorney Docket Number	05123.00004

Sheet of

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 4,539,995	9/10/1985	Segawa	
		US- 4,583,549	4/22/1986	Manoli	
		US- 4,852,572	8/1/1989	Nakahashi, et al.	
		US- 4,981,141	1/1/1991	Segalowitz	
		US- 4,909,260	3/20/1990	Salem, et al.	
		US- 4,957,109	9/18/1990	Groeger, et al.	
		US- 5,191,886	3/9/1993	Paeth, et al.	
		US- 5,341,806	8/30/1994	Gadsby, et al.	
		US- 5,353,793	10/11/1994	Bornn	
		US-5,511,553	4/30/1996	Segalowitz	
		US- 5,628,326	5/13/1997	Arand, et al.	
		US- 5,634,468	6/3/1997	Platt, et al.	
		US- 5,669,391	9/23/1997	Williams	
		US- 5,938,597	8/17/1999	Stratbucker	
		US- 6,076,003	6/13/2000	Rogel	
		US- 6,157,851	12/5/2000	Kelly, et al.	
		US- 6,219,568 B1	4/17/2001	Kelly, et al.	
		US- 6,219,569 B1	4/17/2001	Kelly, et al.	
		US- 6,408,200 B1	6/18/2002	Takashina	
		US- 6,415,169 B1	7/2/2002	Kornrumpf, et al.	
		US- 6,453,186 B1	9/17/2002	Lovejoy, et al.	
		US- 6,560,473 B2	5/6/2003	Dominguez	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO9516388	6/22/1995	Merchant		
		EPO 880 936 A2	12/2/1998	Koji, et al.		
		WO0062667	10/26/2000	Haines, et al.		
		WO9401039	1/20/1994	Segalowitz		

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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First Named Inventor	Rud Istvan
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Attorney Docket Number	005123.00004

Sheet of

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		Number - Kind Code ² (if known)			
		US- 4,494,552	1/22/1985	Heath	
		US- 5,238,001	8/24/1993	Gallant, et al.	
		US- 5,309,920	5/10/1994	Gallant, et al.	
		US- 5,319,363	6/7/1994	Welch, et al.	
		US- 5,327,888	7/12/1994	Imran	
		US- 5,343,870	9/6/1994	Gallant, et al.	
		US- 5,568,814	10/29/1996	Gallant, et al.	
		US- 5,575,284	11/19/1996	Athan, et al.	
		US- 5,586,552	12/24/1996	Sakai	
		US- 5,645,059	7/8/1997	Fein, et al.	
		US- 5,782,238	7/21/1998	Beitler	
		US- 5,865,741	2/2/1999	Kelly, et al.	
		US- 5,873,821	2/23/1999	Chance, et al.	
		US- 5,916,159	6/29/1999	Kelly, et al.	
		US- 5,919,141	7/6/1999	Money, et al.	
		US- 6,055,448	4/25/2000	Anderson, et al.	
		US- 6,443,890 B1	9/3/2002	Schulze, et al.	
		US- 6,454,708 B1	9/24/2002	Ferguson, et al.	
		US- 6,470,893 B1	10/29/2002	Boesen	
		US- 6,544,173 B2	4/8/2003	West, et al.	
		US- 6,544,174 B2	4/8/2003	West, et al.	
		US-6,602,191 B2	8/5/2003	Quy	
		US- 6,616,606 B1	9/9/2003	Petersen, et al.	
		US- 6,654,631 B1	11/25/2003	Sahai	
		US- 6,694,180 B1	2/17/2004	Boesen	